

### 220117US3

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Hiroki SHIOMI, et al.

SERIAL NO:

10/085,734

GAU:

3616

FILED:

March 1, 2002

EXAMINER: CULBRETH, E.

FOR:

A SHIFT DEVICE FOR VEHICLE

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS . -. ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the Japanese Office Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner for Patents, it is no longer required to submit copies of cited pending applications. A modification of the Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBŁON, ŚPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Adjustment date: 12/09/2004 SDIRETA1 10/12/2004 PALLEN 00000001 150030

01 FC:1806

10085734

180.00 CR

Eckhard H. Kuesters

Registration No. 28,870

Norman F. Oblon

Registration No. 24,618

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) I:\cfdav\220117.ids

Robert T. Pous

Registration No. 29,099

October 20, 2004

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER CONTROLLER (703) 412-6494 KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE Box 16 Washington, DC 20231

Attn: Frank Lebron Refund Department

Re:

Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of October, 2004. Please review the highlighted charge on Serial Number 10/085,734 in the amount of \$180.00 on fee code #1806.

A CERTIFIED Information Disclosure Statement was filed on September 15, 2004. An American Express charge form was also included in that filing in the amount of \$180.00 as required under 37 CFR §1.17(p). Please investigate this charge and kindly refund \$180.00 to Deposit Account #150030.

Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Debra J. Noel

Accounting Department

**Enclosures** 





### **Deposit Account Statement**

**Requested Statement Month: Deposit Account Number:** 

Name:

Attention:

Address:

City:

State:

Zip:

October 2004

150030

NORMAN F. OBLON

1940 DUKE STREET

**ALEXANDRIA** 

VA

22314

| DATE            | SEQ POSTIN   | G ATTORNEY<br>DOCKET | FEE<br>CODE | AMT ·      | BAL         |
|-----------------|--------------|----------------------|-------------|------------|-------------|
|                 |              | ' NBR                | CODE        |            |             |
| 10/01           | 2 0946247    | 2 0010-1075-0        | 1806        | \$180.00   | \$28,142.71 |
| 10/01           | 3 1025365    |                      | 1806        | \$180.00   | \$27,962.71 |
| 10/01           |              |                      | 1551        | \$910.00   | \$27,052.71 |
| 10/01           | 5 6045850    | 9543-0001-0          | 1558        | \$1,640.00 | \$25,412.71 |
| 10/01           | 8 6053041    | 8 246890US0/JEM      | 8007        | \$20.00    | \$25,392.71 |
| 10/01           | 9 6050516    | 1 245777US0/JEM      | 8007        | \$20.00    | \$25,372.71 |
| 10/01           | 10 6053290   | 5 247257US90P/JEM    | 8007        | \$20.00    | \$25,352.71 |
| 10/01           | 11 6052028   | 2 245599US90P/JEM    | 8007        | \$20.00    | \$25,332.71 |
| 10/01           | 22 6052028   | 1 245598US90P/JEM    | 8007        | \$20.00    | \$25,312.71 |
| 10/01           | 71 6058447   | 2 255523US0/JEM      | 8007        | \$20.00    | \$25,292.71 |
| 10/01           | 72 6050422   | 5 242894US0P/JEM     | 8007        | \$20.00    | \$25,272.71 |
| 10/01           | 73 60500682  | 2 242444US8P/JEM     | 8007        | \$20.00    | \$25,252.71 |
| 10/01           | B1 10752100  | 247411US0CONT        | 2051        | \$65.00    | \$25,187.71 |
| 10/01           | 122 1089900  | 5 256249US2          | 1202        | \$144.00   | \$25,043.71 |
| 10/01           | 180 1095309  | 5 259723USO          | 1202        | \$18.00    | \$25,025.71 |
| 10/01           | 327 10691638 | 244625USO/JEM        | 8007        | \$20.00    | \$25,005.71 |
| 10/04           | 1 09813988   | 205040US0            | 1201        | \$86.00    | \$24,919.71 |
| 10/04 2         | 2 10031570   | 217075US2PCT         | 1001        | \$740.00   | \$24,179.71 |
| <b>−10/04</b> € | 10487245     | 248850USOXPCT        | 1615        | -\$108.00  | \$24,287.71 |
| 10/04 2         | 240 10494531 | 252628US2PCT         | 1617        | \$130.00   | \$24,157.71 |
| 10/05 3         | PCT/USO      | 4/28804 231752WO     | 1703        | \$12.00    | \$24,145.71 |
| 10/05 7         | 10476559     | 244734US0PCT         | 1615        | \$180.00   | \$23,965.71 |
| 10/05 2         | 8 10732522   | 246258US6CONT        | 1051        | \$130.00   | \$23,835.71 |
| 10/05 2         | 74 10807301  | 250934US3            | 1252        | \$430.00   | \$23,405.71 |
| 10/06 1         | 09956429     | 213386US             | 1202        | \$18.00    | \$23,387.71 |
| 10/06 3         | 10023888     | 203515US77           | 1806        | \$180.00   | \$23,207.71 |
| 10/06 4         | 09592651     | 6889-0079-2-         | 1811        | \$100.00   | \$23,107.71 |
| 10/06 4         | 10169081     | 223018US3XPC         | 1202        | \$72.00    | \$23,035.71 |

| 10/0             | 6 31           | 10827531 | 252169US0CONT        | 1202 | \$130.00               | \$22,905.71   |   |
|------------------|----------------|----------|----------------------|------|------------------------|---|---|
| 10/0             | 6 109          | 09453936 | 5244-0125-2          | 1201 | \$6.00                 | \$22,899.71   |   |
| 10/0             | 6 229          | 10486353 | 349396US3XPCT        | 1616 | \$10.00                | \$22,889.71   |   |
| 10/0             | 6 254          | 6783248  | 231788US90P/JEM      | 8013 | \$25.00                | \$22,864.71   |   |
| 10/0             | 7 3            | 10127754 | 222447US2            | 1201 | \$86.00                | \$22,778.71   |   |
| 10/0             | 7 6            | 10448407 | 238381US2            | 1201 | \$86.00                | \$22,692.71   |   |
|                  | 7 103          |          | 251474US0PCT         | 1616 | \$10.00                | \$22,682.71   |   |
|                  | 7 133          |          | 259749US6PCT         | 1616 | \$10.00                | \$22,672.71   |   |
|                  | 8 18           | 10068860 | 218249US0DIV         | 1253 | \$980.00               | \$21,692.71   |   |
|                  | 8 267          |          | 240244PK,MY,TH,AR,BD |      | \$125.00               | \$21,567.71   |   |
|                  | 8 269          | 10687602 | 240244MY,PK,AR,TH,BD |      | \$100.00               | \$21,467.71   |   |
|                  | 8 271          | 60568700 | 252919US2P/JEM       | 8007 | \$20.00                | \$21,447.71   |   |
|                  | 8 <b>2</b> 75  |          | 222013US0P/JEM       | 8007 | \$40.00                | \$21,407.71   |   |
|                  | 8 276          |          | 252744US0/JEM        | 8007 | \$20.00                | \$21,387.71   |   |
| 10/1             |                | 10085734 | 220117US3            | 1806 | \$180.00               | \$21,207.71   |   |
| 10/1             |                | 10244075 | 226757US0CON         | 1253 | -\$950.00              | \$22,157.71 Regard  |   |
| 10/1             |                | 10244075 | 226757US0CON         | 1202 | -\$36.00               | \$22,193.71   |   |
|                  | 2 12           | 09635429 | 195617US0X           | 1251 |                        |   |   |
| 10/12            |                | 10068849 | 216745US0            | 1460 | -\$110.00<br>\$130.00  | \$22,303.71 (29, 9/4)   |   |
| 10/12            |                | 10143926 | 223121US2            | 1251 | -\$130.00<br>-\$140.00 | \$22,433.71 (2) 9/10/0  |   |
| 10/12            |                | 10055905 | 218202US0            |      | -\$110.00<br>\$20.00   | \$22,543.71 (leq. 4/7/0)<br>\$22,629.71 (leq. 8/12/0)                             |   |
| 10/12            |                | 10055905 | 218202US0            | 1201 | -\$86.00<br>\$40.00    | , , , , ,   |   |
| 10/12            |                | 10092631 | 220481US2            | 1202 | -\$18.00<br>\$440.00   | \$22,647.71<br>\$22,757.71 Reg. 9/7/04  |   |
| 10/12            |                | 10784204 | 249369US2            | 1251 | -\$110.00              | \$22,757.71 1009. 117/04  |   |
| ~10/12           |                | 10959398 |                      | 1051 | -\$130.00              | \$22,887.71 Rey. 9/7/09   |   |
| ~10/12<br>~10/12 |                | 10959398 | 255325US96CONT       | 1202 | \$78.00                | \$22,809.71   |   |
| 10/12            |                |          | 255325US96CONT       | 1203 | \$300.00               | \$22,509.71   |   |
| 10/12            |                | 10960084 | 258784US23           | 1202 | \$18.00                | \$22,491.71   |   |
| 10/12            |                | 10510344 | 258047US90PCT        | 1611 | \$30.00                | \$22,461.71   |   |
|                  |                | 09987885 | 216172US0RE          | 1253 | -\$950.00              | \$23,411.71-Pcy. 8/4/04   |   |
|                  | 2 258<br>2 261 | 09625061 |                      | 8007 | \$40.00                | \$23,371.71   |   |
|                  |                | 60162009 |                      | 8007 | \$40.00                | \$23,331.71   |   |
| 10/13            |                | 09706781 | 199326US2            | 1811 | \$100.00               | \$23,231.71   |   |
| ~10/13<br>~10/13 |                | 10489855 |                      | 1615 | \$486.00               | \$22,745.71   |   |
|                  | _              | 10479974 |                      | 1615 | \$54.00                | \$22,691.71   |   |
| 10/13            |                | 09965826 |                      | 1460 | -\$130.00              | \$22,821.71 Reg. 8/4/04   |   |
| 10/13            |                | 10479974 |                      | 1616 | \$290.00               | \$22,531.71   |   |
| 10/13            |                | 10722512 |                      | 1201 | -\$258.00              | \$22,531.71<br>\$22,789.7+ Res. 8/3/04<br>\$22,875.71-(24, 8)13/04<br>\$21,867.71 |   |
| 10/13            |                | 10125411 |                      | 1201 | -\$86.00               | \$22,875.71-(Leg. 8)13/41   |   |
| 10/14            |                | 10398209 | •                    | 1202 | \$1,008.00             | \$21,867.71   | ĺ |
| 10/14            |                | 10207899 | 1                    | 1501 |                        | \$23,197.71   |   |
| 10/14            |                | 10207899 | i                    | 1504 | -\$300.00              | \$23,497.71   |   |
| 10/14            |                | 10207899 | •                    | 8001 | -\$30.00               | \$23,527.71   | l |
| 10/14            |                | 10720021 |                      | 1001 | \$20.00                | \$23,507.71   |   |
| 10/14            |                | 60598050 |                      | 8007 | \$20.00                | \$23,487.71   |   |
| 10/14            |                | 10782930 |                      | 8007 | \$20.00                | \$23,467.71   |   |
| 10/15            |                | 10441006 |                      | 1806 | \$180.00               | \$23,287.71   |   |
| 10/15            |                | 10050865 |                      | 1806 | \$180.00               | \$23,107.71   |   |
| 10/15            | 108            | 10845523 | 253144US99CONT       | 1001 | \$150.00               | \$22,957.71   |   |
|                  |                |          |                      |      |                        |   |   |

OSMM&N File No. 220117US3

Dept.: E/M

By: CIM/RTP/cfd

/ Serial No. 10/085,734

In the matter of the Application of: Hiroki SHIOMI, et al.

For: A SHIFT DEVICE FOR VEHICLE

Due Date: September 15, 2004

The following has been received in the U.S. Patent Office on the date stamped hereon:

■ Credit Card Form for \$180.00

■ Dep. Acct. Order Form

■ Information Disclosure Statement

■ PTO-1449

■ Cited References 20

■ Japanese Office Action

■ Information Sheets 1, 2 and 3 w/Statement of Relevancy



Docket No.

220117US3

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Hiroki SHIOMI, et al.

SERIAL NO: 10/085,734

GAU:

3616

FILED:

March 1, 2002

EXAMINER: CULBRETH, E.

FOR:

A SHIFT DEVICE FOR VEHICLE

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references cited in the Japanese Office Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner for Patents, it is no longer required to submit copies of cited pending applications. A modification of the Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) 1-\cfdav\220117 ide Robert T. Pous Registration No. 29,099



# United States Patent & Trademark Office Credit Card Payment Form



| Credit Card Information  |                          |  |  |  |  |  |
|--|--------------------------|--|--|--|--|--|
| Credit Card Type: American Express   |                          |  |  |  |  |  |
| Credit Card Account #: 3787-398962-31005   |                          |  |  |  |  |  |
| Credit Card Expiration Date: December 31, 2005                                   |                          |  |  |  |  |  |
| Name as it Appears on Credit Card: Davis, Cecelia F.                             |                          |  |  |  |  |  |
| Payment Amount: \$(US Dollars): 180.00   |                          |  |  |  |  |  |
| Signature: ( Leulin J. Davis)  | Date: September 14, 2004 |  |  |  |  |  |
| Credit Card Billing Address  |                          |  |  |  |  |  |
| Street Address 1: Oblon, Spivak, McClelland, Maier & Neustadt, P.C.              |                          |  |  |  |  |  |
| Street Address 2: 1940 Duke Street   |                          |  |  |  |  |  |
| City: Alexandria   |                          |  |  |  |  |  |
| State: Virginia  | Zip/Postal Code: 22314   |  |  |  |  |  |
| Country: U.S.A.  |                          |  |  |  |  |  |
| Daytime Phone #: (703) 413-3000 Accounting Dept.                                 | Fax #: (703) 413-2220    |  |  |  |  |  |
| Request and Payment Information  |                          |  |  |  |  |  |
| Description of Request and Payment Information: Information Disclosure Statement |                          |  |  |  |  |  |
| Application No.: 10/085,734  |                          |  |  |  |  |  |
| Patent/Registration No.:   |                          |  |  |  |  |  |
| Docket No.: 220117US   |                          |  |  |  |  |  |

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

Form #: 18873